

**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

Attorney Docket No.

870 402D1

First Inventor or Application Identifier

George W. Keilman

Title

ENDOLUMINAL IMPLANT WITH THERAPEUTIC  
AND DIAGNOSTIC CAPABILITY

Express Mail Label No.

EL615228918US

Only for nonprovisional applications under 37 CFR § 1.53(b)

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

**ADDRESS TO:**Box Patent Application  
Assistant Commissioner for Patents  
Washington, D.C. 202311. ☒ General Authorization Form & Fee Transmittal  
(Submit an original and a duplicate for fee processing)2. ☒ Specification [Total Pages] **87**  
(preferred arrangement set forth below)  
- Descriptive Title of the Invention  
- Cross References to Related Applications  
- Statement Regarding Fed sponsored R & D  
- Reference to Microfiche Appendix  
- Background of the Invention- Brief Summary of the Invention  
- Brief Description of the Drawings (if filed)  
- Detailed Description  
- Claim(s)  
- Abstract of the Disclosure☒ Drawing(s) (35 USC 113) [Total Sheets] **14**Oath or Declaration [Total Pages] **2**a. ☐ Newly executed (original or copy)b. ☒ Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 17 completed)i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting  
inventor(s) named in the prior application,  
see 37 CFR 1.63(d)(2) and 1.33(b)☒ Incorporation By Reference (useable if box 4b is  
checked) The entire disclosure of the prior application,  
from which a copy of the oath or declaration is supplied  
under Box 4b, is considered to be part of the disclosure of  
the accompanying application and is hereby incorporated  
by reference therein.6. ☐ Microfiche Computer Program (Appendix)7. Nucleotide and Amino Acid Sequence Submission  
(if applicable, all necessary)

- a.
- ☐
- Computer-Readable Copy
- 
- b.
- ☐
- Paper Copy (identical to computer copy)
- 
- c.
- ☐
- Statement verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**8. ☐ Assignment Papers (cover sheet & document(s))9. ☐ 37 CFR 3.73(b) Statement ☒ Power of Attorney  
(when there is an assignee)10. ☐ English Translation Document (if applicable)11. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS  
Citations12. ☒ Preliminary Amendment13. ☒ Return Receipt Postcard14. ☐ Small Entity Statement(s) ☒ Statement filed in prior application,  
Status still proper and desired15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)16. ☒ Other: Certificate of Express Mail  
CheckSupplemental DeclarationAssociate Power of Attorney

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in a preliminary amendment

☐ Continuation ☒ Divisional ☐ Continuation-In-Part (CIP) of prior Application No.: 09/028,154Prior application information: Examiner Michael Astorino Group / Art Unit 3736☐ Claims the benefit of Provisional Application No. \_\_\_\_\_**CORRESPONDENCE ADDRESS**Brian L. Johnson  
Seed Intellectual Property Law Group PLLC  
701 Fifth Avenue, Suite 6300  
Seattle, Washington 98104-7092  
Phone: (206) 622-4900, Fax: (206) 682-6031

Respectfully submitted,

TYPED or PRINTED NAME Brian L. JohnsonSIGNATURE Brian JohnsonREGISTRATION NO. 40,033Date 10/24/00

PATENT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : George W. Keilman and George E. Cimochoowski  
 Title : ENDOLUMINAL IMPLANT WITH THERAPEUTIC AND  
 DIAGNOSTIC CAPABILITY  
 Docket No. : 870146.402D1  
 Date : October 24, 2000

JC926 U.S. PTO  
 09/695748  
 10/24/00

Box Patent Application  
 Assistant Commissioner for Patents  
 Washington, DC 20231

GENERAL AUTHORIZATION UNDER 37 C.F.R. § 1.136(a)(3)  
AND FEE TRANSMITTAL

Assistant Commissioner for Patents:

With respect to the above-identified application, the Assistant Commissioner is authorized to treat any concurrent or future reply requiring a petition for an extension of time under 37 C.F.R. § 1.136(a)(3) for its timely submission as incorporating a petition therefor for the appropriate length of time. The Assistant Commissioner is also authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No. 19-1090.


With respect to the above-identified application, the fee is calculated below:

| For                            | Number<br>filed | Number<br>extra |   | Rate |   |        |
|--------------------------------|-----------------|-----------------|---|------|---|--------|
| Basic Fee                      |                 |                 |   |      |   | \$ 355 |
| Total Claims                   | 17              | 0               | X | \$   | = | \$ 0   |
| Independent Claims             | 2               | 0               | X | \$   | = | \$ 0   |
| Multiple Dependent Claim       |                 |                 |   |      | + | \$ 0   |
| Assignment Fee                 |                 |                 |   |      | + | \$ 0   |
| TOTAL FILING FEE               |                 |                 |   |      |   | \$ 355 |
| Extension-of-time fee (parent) |                 |                 |   |      | + | \$ 0   |
| TOTAL                          |                 |                 |   |      |   | \$ 355 |

A check in the amount of \$355 is enclosed to cover the filing fee.

The Assistant Commissioner is authorized to charge any fees under 37 C.F.R. §§ 1.16 and 1.17 which may be required, or credit any overpayment, to Deposit Account No. 19-1090. A duplicate copy of this request is enclosed.

Date 10/24/00

  
\_\_\_\_\_  
Brian L. Johnson  
Registration No. 40,033

BLJ:mad

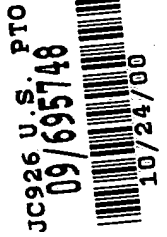
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PATENT

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Assistant Commissioner for Patents  
Washington, DC 20231

CERTIFICATE OF MAILING BY "EXPRESS MAIL"

Assistant Commissioner for Patents:

I hereby certify that the enclosures listed below are being deposited with the United States Postal Service "EXPRESS MAIL Post Office to Addressee" service under 37 C.F.R. § 1.10, Mailing Label Certificate No. EL615228918US, on October 24, 2000, addressed to Box Patent Application, Assistant Commissioner for Patents, Washington, DC 20231.

Respectfully submitted,  
Seed Intellectual Property Law Group PLLC

Sam Haile/Jeanette West

BLJ:mad

Enclosures:

Postcard  
Check  
Form PTO/SB/05  
General Authorization Under 37 C.F.R. § 1.136(a)(3) and Fee Transmittal (+ copy)  
Specification, Claims, Abstract (87 pages)  
14 Sheets of Drawings (Figures 1-28)  
Copy of Declaration and Power of Attorney  
Copy of Supplemental Declaration  
Appointment of Associate Power of Attorney  
Preliminary Amendment